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(54) Title: QUATERNARY CHELIDONINE AND ALKALOID DERIVATIVES, PROCESS FOR THEIR PREPARATION AND
THEIR USE IN MANUFACTURE OF MEDICAMENTS

(57) Abstract: The invention relates to alkaloid reaction products obtainable in a process wherein alkaloids are reacted with an
alkylating agent, preferably thiotepa, whereafter unreacted alkylating agent and other water-soluble compounds are removed from the
reaction mixture by washing with water or a suitable aqueous solvent, whereafter the reaction mixture is subjected to a treatment with
strong acid, preferably hydrogen chloride (HCl), to precipitate a water soluble salt of the reaction products. The precipitated reaction
products comprise at least one quaternary alkaloid derivative and are suitable as drugs for prophylactic or therapeutic application,
particularly in the treatment of immunological or metabolic dysfunctions, and cancer.

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QUATERNARY CHELIDONINE AND ALKALOID DERIVATIVES, PROCESS FOR THEIR PREPARATION AND THEIR USE IN MANUFACTURE OF MEDICAMENTS

FIELD OF THE INVENTION

5 The present invention is in the field of drug development and health care and relates to the alkaloid chelidone and derivatives thereof wherein the nitrogen in the chelidone molecule is a quaternary nitrogen. The invention further relates to a method of manufacture of such compounds, to compositions containing such compounds and to applications thereof for the
10 treatment of various diseases and bodily conditions.

STATE OF THE ART

The alkaloid chelidone and compositions containing chelidone are known
15 in the art, as are therapeutic applications of chelidone or some chelidone derivatives in the treatment of various bodily conditions and diseases, including metabolic dysfunctions and tumours.

DE 2 028 330 and US 3 865 830 disclose the preparation of thiophosphoramidate-isoquinoline adducts by reacting selected alkaloids of
20 *Chelidonium majus* L. with tris(1-aziridiny)phosphine sulphide in an organic solvent.

AT 354 644 and AT 377 988 describe processes for the preparation of phosphorus derivatives of alkaloids by reaction with carcinostatic phosphorus compounds, which are provided in a water-soluble form by
25 conversion into their salts. A disadvantage of the disclosed processes is that the conversion of the reaction products into a water-soluble salt is not complete and the predominant part of the reaction products remains water-insoluble.

US 5 981 512 discloses the use of the substances disclosed in AT
30 377 988 and AT 354 644 for the treatment of radiation damage.

The compounds described in said patents have different cytostatic and carcinostatic activity. Mixtures of alkaloids, in particular of the total alkaloids of *Chelidonium majus* L., have proved therapeutically particularly promising, the pharmacological activity of which has been demonstrated in
35 several studies on cancer treatment. Unreacted reagent is removed from the synthesis mixture following completion of the reaction. Since tris(1-aziridiny)phosphine sulphide (hereinafter also referred to as "thiotepa") is

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soluble in organic solvents, such as benzene, ether or chloroform, it is proposed in the prior art methods to remove the unreacted tris(1-aziridinyl)phosphine sulphide from the synthesis mixture by washing the reaction products with ether.

- 5 While the aforementioned prior art methods for the manufacture of pharmacologically active chelidonine derivatives have in common that they require purification of the final product using inflammable or even explosive organic solvents, it was now found that the purification could also and with even better results be accomplished using an aqueous solvent.

10

BRIEF DESCRIPTION OF THE INVENTION

In one aspect the invention relates to a novel process for the preparation of a reaction product of alkaloids, particularly of chelidonine,
15 oxychelidonine or methoxychelidonine, with suitable alkylating agents, which process involves at least one washing step with an aqueous solvent, preferably water, after completion of the alkylating reaction.

The process also comprises a step of converting the alkaloid derivatives into water-soluble salts, for making injectable pharmaceutical
20 preparations of low toxicity and having a broad spectrum of therapeutic activity.

In another aspect the present invention relates to the water-soluble reaction products, e.g. comprising chelidonine derivatives, wherein the initially tertiary nitrogen in the alkaloid molecule has been converted into a
25 quaternary nitrogen and wherein the fourth ligand to the quaternary nitrogen is a lower alkyl residue, preferably a methyl or ethyl residue or a substituted methyl or ethyl residue, such as for instance, a thiotepa residue. In a preferred embodiment the quaternary chelidonine derivatives are of a nature such as to selectively accumulate in target tissues, particularly cancerous
30 tissues.

In another aspect the invention relates to pharmaceutical compositions containing at least one of the quaternary alkaloid derivatives, particularly quaternary chelidonine derivatives, obtainable in a process according to the present invention.

35 The invention further relates to the use of the reaction products comprising quaternary alkaloid derivatives as drugs for use in therapeutic applications, and to the use of said derivatives for the manufacture of

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pharmaceutical compositions for the therapeutic treatment of various diseases or bodily conditions.

Further embodiments of the present invention are laid down in the claims.

5

DETAILED DESCRIPTION OF THE INVENTION

The process according to the invention comprises reacting an alkaloid or a mixture of alkaloids in an organic solvent with an alkylating agent, preferably with an alkylating agent having itself therapeutic activity, such as for example cytotoxic phosphoramides or phosphoric acid derivatives containing at least one aziridine group, and then washing the reaction products with water. The washing step with water or an equivalent aqueous solvent, e.g. a mild salt solution, facilitates inter alia the subsequent conversion step of the poorly water-soluble or water-insoluble reaction products, i.e. quaternary alkaloid derivatives, into water-soluble compounds, e.g. salts. It is preferred that in case the alkylating agent is a cytotoxic substance it be also water-soluble or at least to decompose upon contact with water into water-soluble components, in order to allow for substantial removal of unreacted alkylating agent or parts thereof from the reaction mixture by the washing step with water.

The washing step with water allows to substantially simplify the manufacturing process since complicated safety precautions owing to the risk of explosion of purely organic solvents, e.g. dimethylether, no longer need to be taken, thus making the process easily upscalable. Moreover, undesired water-soluble components present in the reaction mixture are thereby separated from the reaction products and removed. Surprisingly, it was also found that the washing step has a positive impact on the structure and composition of the reaction products in a way such that the efficiency of the subsequent conversion step of the products into a water-soluble form is augmented by up to 10 to 15 times compared with a process where the washing step is carried out using a purely organic solvent, thus remarkably improving the yield of the desired end product.

The present process can be used, for example, for alkylating reactions of alkaloids with the carcinostatic phosphorus containing compounds mentioned in Claim 1 of AT 377 988, the phosphorus compounds shown in

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Figure 3 of the present application being particularly suitable, and most particularly those having an aziridine group.

The term chelidonine as used herein shall refer likewise to either of the members selected from the group consisting of chelidonine,

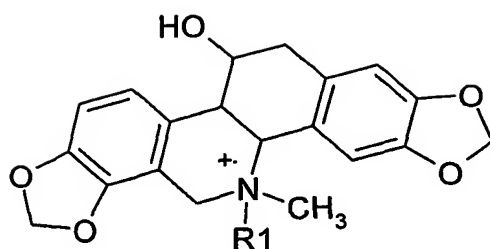
5 oxychelidonine and methoxychelidonine, unless stated otherwise or unless otherwise derivable implicitly from the description.

A suitable organic solvent according to the present invention is any agent in which the alkaloids intended for the reaction are soluble. The alkaloids can, for example, be dissolved in an organic solvent that facilitates or contributes
10 to the alkylation reaction such as a solvent selected from the group consisting of monochloromethane, dichloromethane, trichloromethane, monochloroethane, dichloroethane and trichloroethane.

The alkylating reaction of the alkaloids takes place at elevated temperature, preferably at the boiling point of the solvent.

15 The resulting reaction product is converted into a water-soluble form after washing with water. This can be carried out according to the process described in AT 377 988 and AT 354 644, by conversion into the water-soluble salts, in particular into the hydrochlorides, for example by passing in a strong acid in liquid or gaseous form such as HCl gas or adding an HCl
20 solution to the organic solution of the washed reaction product, during which or after which the hydrochlorides are precipitated. It appears that by this acidic treatment most of the alkylating agent is split off from an intermediate reaction compound formed between the alkaloids and the alkylating agent, leaving behind modified alkaloid derivatives, wherein the
25 initially tertiary nitrogen atoms have been converted into quaternary nitrogens, wherein to the quaternary nitrogen a hydrogen residue or a residue originating from the alkylating agent is bound as a fourth ligand, the residue preferably being selected from the group consisting of a methyl, ethyl, and tris(1-aziridiny)phosphine sulphide residue, or from a part of
30 tris(1-aziridiny)phosphine sulphide. For a better understanding, the subsequent formula (I) illustrates a typical quaternary alkaloid reaction product of the present invention, exemplified with chelidonine:

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(II)

R1 = methyl, ethyl, tris(1-aziridinyl)phosphine sulphide, methyl-R2, ethyl-R2, R2 being a part of tris(1-aziridinyl)phosphine sulphide

- 5 From an elementary analysis of one of the reaction products precipitated according to the present invention (see Example 3) it appears - without being bound by theory - that at least a part of the alkylating agent or decomposition compounds of the alkylating agent, obtained by the acidic treatment of the reaction mixture after termination of the alkylating, e.g.
- 10 quaternating reaction, may be occluded to some extent in the crystals of the precipitate or are somehow strongly attached to the crystals, thus withstanding purification of the precipitate by washing with organic solvents such as ether and dichloromethane. Nevertheless it could be proved that the reaction product is still fully functional even in the presence of such
- 15 accompanying substances.

The water-soluble salt of the reaction product is suitable for application in injection solutions.

- In an embodiment of the invention, the reaction is carried out with tris(1-aziridinyl)phosphine sulphide (CAS No. 52-24-4), which in the
- 20 pharmacopoeia is also known as thiotepa. Further synonyms are ledertepa, Onco thiotepa, TESPA, tespamine, thiophosphamide, thio-TEPA, thiotriethylenephosphoramidate, tifosyl, triaziridinylphosphine sulphide, N,N',N''-tri-1,2-ethanediyolphosphorothioine triamide; N,N',N''-tri-1,2-ethanediyolphosphoramidate, tri-(ethyleneimino)thiophosphoramidate;
- 25 N,N',N''-triethylenethiophosphoramidate, triethylenethiophosphorotriamide, m-triethylenethiophosphoramidate, m-tris(aziridin-1-yl)phosphine sulphide, triethylenethiophosphoramidate, tris(1-aziridinyl)phosphine sulphide, tris(ethyleneimino)thiophosphate, TSPA and WR 45312.

- In a further embodiment of the invention, an extract of alkaloids,
- 30 optionally the total alkaloids of *Chelidonium majus* L., in an organic solvent

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is reacted with tris(1-aziridinyI)-phosphine sulphide (thiotepa) and the resulting reaction product, optionally present as a mixture of compounds, is then washed at least once with water. Since thiotepa decomposes in water, the unconverted residue of thiotepa present in excess after the reaction can
5 be removed from the organic phase by this measure. Preferably, the organic solution containing the intermediate reaction product, i.e. the compound formed between alkylating agent and alkaloid, is washed several times and each time is saturated with water. Particularly preferable, the washing is repeated until the excess of highly toxic thiotepa has been completely
10 removed from the reaction product.

In addition, some water-soluble toxic alkaloids which contribute to adverse reactions in medical applications and might even cause cirrhosis of the liver are removed with the aqueous phase from the synthesis mixture or their concentrations are reduced. By means of the Ames test, it was shown
15 that the reaction product of this embodiment, prepared according to the invention, is not mutagenic.

When starting with a total alkaloids extract from *Chelidonium majus L.* the final reaction product is a mixture of alkaloids comprising higher molecular weight reaction products of thiotepa with alkaloids, and of
20 degradation products of thiotepa. As a result of the synthesis process, the solubilities of the alkaloids change. The reaction product consists of a mixture of about 60 to 70% of unreacted Chelidonium alkaloids with about 30 to 40% of reaction products of tris(1-aziridinyI)phosphine sulphide.

Tertiary alkaloids represent the main part of the starting components
25 of an alkaloid extract obtained from *Chelidonium majus L.* For example, the following tertiary alkaloids may be contained as starting components in the synthesis mixture: chelidonine, protopin, stylopin, allocryptopin, α -homo-chelidonine, chelamidine, chelamine, L-sparteine, chelidimerine, dihydrosanguinarine, oxysanguarine, oxychelidonine and methoxychelidonine.

30 After termination of the alkylating reaction unreacted quaternary alkaloids (e.g. berberine) are substantially removed from the reaction mixture by the washing step with water, while unreacted water-insoluble alkaloids and alkylated alkaloid reaction products remain in the organic solvent. Depending on the nature of the organic solvent and/or of the alkylating
35 agent used for the alkylating reaction, the resulting intermediate reaction product may comprise thiotepa-linked compounds, wherein one thiotepa molecule is linked to one, two or three chelidonine, oxy-chelidonine or

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methoxy-chelidonine molecules. In addition, it may comprise alkylated alkaloid derivatives, wherein an alkaloid molecule, e.g. a chelidonine, oxy-chelidonine or methoxy-chelidonine molecule, is linked at its quaternary nitrogen to a short chain linear alkyl residue, particularly to a methyl or ethyl group. Still further alkylated reaction compounds may be present in the reaction mixture after termination of the alkylation reaction.

The reaction product obtained from the reaction of the total alkaloids of *Chelidonium majus L.* with thiotepa according to the present invention shows a better spectrum of therapeutic activities than the reaction product obtained from an analogous process where the washing step has been carried out with an organic solvent, e.g. diethylether. At least some compounds present in the reaction product of the present invention, particularly the quaternary chelidonine derivatives, selectively accumulate in cancerous tissues and destroy cancer cells by apoptosis but - in contrast to most known cytostatic agents - without also attacking healthy cells. This results in the good tolerance of a therapy with this preparation and its general suitability for therapeutic and even prophylactic use in individuals at increased risk of developing cancer due to, e.g. hereditary disposition. It is simple to handle and has no significant adverse reactions in therapeutic doses.

The reaction product obtained from the reaction of the total alkaloids of *Chelidonium majus L.* with thiotepa exhibits biological activity in regulation of the metabolism and is suitable for the prevention and therapy of metabolic diseases, such as osteoporosis, but also rheumatic diseases, allergies, viral infections, epilepsy, multiple sclerosis, scars, skin tumours, postoperative wounds and radiation damage.

An extract of the dried roots of *Chelidonium majus L.* may be used as a starting material for the synthesis. The roots have a higher content of alkaloids than the leaves or the stem.

Surprisingly, it was found most recently that when starting with commercially available chelidonine, oxychelidonine or methoxychelidonine as the sole alkaloid source, the resulting reaction product obtained according to the method of the present invention (see for instance Example 3, supra) exhibits therapeutic qualities and activities that are at least comparable to those of the reaction product resulting from the alkylation reaction of total Chelidonium alkaloids according to Example 1.

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The customary pharmaceutical excipients, in particular for solutions, for example injection or infusion solutions, or for ointment, compress or suspensory bases, are suitable for drugs which contain the reaction products prepared according to the invention.

5

BRIEF DESCRIPTION OF THE DRAWINGS

- Fig.1 shows an HPLC diagram with a characteristic total alkaloid composition of the roots of *Chelidonium majus* L.
- 10 Fig.2 shows the HPLC fingerprint of a preparation according to Example 1.
- Fig.3 shows selected phosphorus derivatives suitable as reagents.
- Fig.4 shows a nuclear magnetic resonance spectrum of the reaction product U-KRS.
- Fig.5 shows a UV spectrum of the reaction product U-KRS.
- 15 Fig.6 shows a UV spectrum of chelidonine hydrochloride.
- Fig.7 shows a first section of a mass spectrum of the reaction product U-KRS.
- Fig.8 shows a second section of a mass spectrum of the reaction product U-KRS at a higher resolution.
- 20 Fig.9 shows a mass spectrum of chelidonine hydrochloride.

The following examples are set forth to further illustrate the invention.

25

Example 1

A) Extraction of the alkaloids:

- a. 25 g of an alkaloid salt mixture are suspended in water and transferred to a separating funnel. After the addition of 100 ml of dichloromethane, the separating funnel is shaken. The organic phase is then
- 30 separated off and is filtered into a glass bottle.
- b. 1 N NaOH (pH 8-9) is added to the aqueous phase until turbidity occurs. After the addition of 100 ml of dichloromethane, the mixture is shaken. The organic phase is then separated off and is combined with the dichloromethane phase from step a. This process is repeated, for example 3
- 35 times. The organic phases are filtered and combined.
- c. The aqueous phase is adjusted to a pH of 10 by adding NaOH. After the addition of dichloromethane, the mixture is shaken. The organic phase is then separated off, filtered and mixed with the other organic

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phases. The aqueous phase is now adjusted to a pH of 13 with NaOH and the extraction is repeated with dichloromethane.

d. The combined organic phases are evaporated to give an oily, brown material.

5 B) Reaction with tris(1-aziridiny)phosphine sulphide:

The alkaloid residue is dissolved in dichloromethane, and tris(1-aziridiny)phosphine sulphide is added. The mixture is refluxed at 80°C for 2 hours. After cooling to room temperature, the reaction mixture is clarified. Filtration is then carried out and the filtrate is washed several times, for
10 example 3 times or more, with 250 ml of water in a separating funnel.

C) Reaction with HCl

The washed solution is transferred to a glass beaker, stirred and saturated with HCl gas, a hydrochloride complex being precipitated. The precipitated product is filtered off and is washed with diethyl ether, dried
15 and then dissolved in water.

In rats, an LD₅₀ value of 485 mg/kg was determined from the reaction product according to Example 1. Studies in mice and rats showed that the product according to the invention modulates the hormone regulation of the
20 thymus and induces the synthesis of substances having thymosin-like activity in animals whose thymus has been removed. This effect is dose-dependent. The preparation increases the number of T-lymphocytes in the peripheral blood by up to 50% ($4.04 \pm 0.43 \times 10^9/l$ before the treatment, $6.24 \pm 0.73 \times 10^9/l$ after the treatment), modulates the humoral immune
25 response to penetrating antigen and the natural killer cell activity of the spleen cells ($198.20 \pm 17.69\%$ compared with $71.50 \pm 9.10\%$ in the control group) and enhances the interferon liberation potential of the white blood corpuscles in animal experiments. The results of the animal experiments are confirmed by clinical observations. Thus, the improvement in the immune
30 parameters was also observed in cancer patients.

Doses of about 5 mg of the preparation from Example 1 per 70 kg body weight can be used for prophylactic and immunological applications. For cancer treatment, 5 mg of the preparation per 20 kg body weight are preferably administered.

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Example 2: HPLC fingerprints

The determination was carried out by ion pair reverse-phase chromatography in the gradient mode and with spectral measurement using a DAD detector at 285 nm. At the same time, chromatograms were prepared using reference alkaloids. In addition, an HPLC-MSD analysis was carried out, which showed that there were no peaks apart from those of the alkaloids. The HPLC diagrams of Figures 1 and 2 were obtained on the basis of the following experimental data:

10 Chromatography parameters:

Column: LiChrospher 60 RP Select B, 5 µm, 125 x 24 mm ID

Eluent: A) 200 ml (acetonitrile) + 800ml (water) + 1.5 g (hexanesulphonic acid) + 0.3 ml (85% phosphoric acid)

15 B) 900 ml (acetonitrile) + 100 ml (water) + 1.5 g (hexanesulphonic acid) + 0.3 ml (85% phosphoric acid)

Gradient: 5 min isocratically 100% A;

up to 40% B in 24 min

up to 100% B in 1 min

5 min 100% B;

20 5 min equilibration with 100% A

Detection: UV light at 285 nm

Eluate flow rate: 1 ml/min, stop after 35 min.

Injected volume: 10 µl

Sample preparation:

25 Extract before reaction (Figure 1): 25 mg of alkaloids are dissolved in 40 ml of methanol by ultrasonics, made up to 50 ml and filtered through a membrane filter.

30 Reaction product (Figure 2): The reaction product is converted into the hydrochloride salt, dissolved in water in a concentration of 1 mg/ml and adjusted to a pH of between 2.5 and 6.5.

Example 3

35 Commercially available, purified chelidone (Sigma) was subjected to reaction with tris(1-aziridinyl)phosphine sulphide (= thiotepa) according to the conditions described in Example 1. After termination of the alkylation

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reaction, the subsequent washing step and the conversion step using HCl gas, the final product was further processed as follows:

340 g of the HCl-treated hence water-soluble reaction product were dissolved in water and concentrated close to saturation and allowed to rest in a refrigerator at 8°C. After some hours, spontaneous precipitation occurred and 264 mg precipitate (hereinafter termed U-KRS) was collected. The precipitate comprised slightly yellowish hygroscopic crystals having a rather narrow melting point of 205 - 207 °C (indicating a fairly well crystallized product) and exhibiting light-blue fluorescence upon irradiation with UV-light at 366 nm. Traces of yellow, orange and red fluorescent bands were also visible. The product did not move when subjected to thin layer chromatography but remained at the starting position ($R_f=0$), except for the traces which at least moved to give an $R_f > 0.1$. From the nuclear magnetic resonance (NMR) spectrum (Figure 4) it gets clear that U-KRS contains aromatic rings comparable to those contained in the chelidone molecule. The UV spectrum (Figure 5) exhibits absorption maxima at 148, 155, 160, 205, 230 and 282 nm, very much like the UV spectrum of chelidone (Figure 6), which differs therefrom solely in that the peak at 230 nm of U-KRS occurs at 240 nm with chelidone. This indicates that the nitrogen in U-KRS is quaternary, while in chelidone it is tertiary.

Further analytical details can be derived from the mass spectrograms presented in Figure 7 and Figure 8 (U-KRS) and Figure 9 (chelidone), and from the result of an elementary analysis of U-KRS revealing the following composition of matter (Tab. 1):

Table 1: Elementary composition of U-KRS in % of total mass

Element	% of total mass
C	45.70
	45.05
H	5.84
N	6.56
	6.37
O	22.25
	19.6
P	3.27

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	3.27
S	2.78 3.06
Cl	17.29

The following examples show various applications of the compound U-KRS resulting from the procedure described in Example 3.

5

Example 4: Selective inhibition of *in vitro* cell growth by the anti-tumor drug U-KRS

Materials and methods

10 Cell culture was performed in Roux bottles at 37-37.5°C in a humidified atmosphere containing 8% CO₂. Confluent cultures were detached by a solution of 0.01% trypsin and 0.2% EDTA in phosphate buffered saline (PBS) and split in a ratio ranging from 1:5 to 1: 25.

Human endothelial cells were isolated from umbilical veins by
15 collagenase treatment. The culture medium for endothelial cells was M199 supplemented with 15% heat inactivated fetal calf serum, 200 µg/ml endothelial cell growth factor and 90 µg/ml heparin

Fluorescence microscopy

20 Cells were grown in 35mm dishes and incubated with 100 µg/ml U-KRS for 30-60 min. The culture medium was aspirated, the cells were washed twice with PBS. Coverslips were mounted on the cells and fluorescence was excited using a confocal laser scanning microscope equipped with an argon laser source. The emitted light was detected in a photomultiplier channel.
25 The signals were imaged on a video monitor using the MRC 600 image processing software.

Results

1. In a range from 20-40 µg/ml U-KRS about 55% inhibition of cell
30 growth with endothelial cells was measured. This concentration killed the human osteosarcoma cell line. Hybrids of the two cell types showed nearly the same sensitivity as normal cells.

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2. Because of its autofluorescence U-KRS can be detected intracellularly. A laser scanning microscope showed a high uptake of U-KRS in malignant cells.

5 Example 5: U-KRS as Anticancer Agent - Oxygen Consumption

Materials and methods

In vivo experiments in mice. Two to five control animals were each injected with 50 μ l of an Ehrlich mouse ascites tumour suspension i.p. which
10 was 8 d old, freshly taken from a fully grown donor animal. The control group was not further treated. Test group was injected with 10mg U-KRS/kg animal weight in the abdominal area immediately after the tumour implantation.

15 *Results*

Mice implanted with the ascites tumour, either after intraperitoneal or after subcutaneous administration of U-KRS showed a longer survival time than the implant animals which were not otherwise treated..

The measurement of oxygen consumption of an ascites tumour
20 suspension by means of an electrode in vitro brought about a brief increase in consumption after the addition of U-KRS, followed however, by a rapid drop different from that of the control suspension not mixed with U-KRS

25 Example 6: Modification of antinociceptive action of morphine by U-KRS in rodents.

Materials and methods

Animals: Experiments were performed on male Albino Swiss mice and male Wistar rats.

30 Treatment: U-KRS was given i.p. in doses starting from 20 mg/kg for mice and 25 mg/kg for rats.

Experimental procedures: In each experiment the four groups of animals were injected with 1) placebo, 2) morphine, 3) U-KRS, 4) U-KRS and morphine.

35

Results:

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The results indicated that simultaneous administration of U-KRS and morphine modified the action of the narcotic analgesic drug. They produced antinociceptive action in the tail-flick test in rats, evident as an increase in the latency time.

5

The present results show that U-KRS given simultaneously with morphine changes susceptibility of experimental animals to nociceptive reaction in the described tests. The present results suggest that U-KRS can interact with analgesic drugs which are used in cancer.

10

Example 7: Induction of bimodal programmed cell death in malignant cells by the derivative U-KRS.

Material and methods

15

The K562 erythroleukaemia cell line was used, and U-KRS produced in pure crystallized form and dissolved in water at a concentration of 1.2 mg/ml.

The DNA content of K562 cells exposed to various concentrations of U-KRS were analysed using propidium iodide and flow cytometry.

20

Results

The results of this study show that U-KRS induces bimodal cell death programmes, the first of which, apoptosis, is mediated by quinidine sensitive Ca^{2+} -dependent K^{+} channels; the second modality, blister cell death, is
25 mediated by preventing microtubule formation and thus inducing polyploidy.

Example 8: Influence of U-KRS on DNA, RNA and protein synthesis in malignant cells

30

Material and methods

^3H labelled thymidine, 0.5 μCi in 20 μl medium; uridine, 0.5 μCi in 20 μl medium and leucine, 1.0 μCi in 20 μl medium were placed for 2-4 h into four wells with different U-KRS concentration. Prior to that, the cell lines, guinea pig hepatocytes, C1L hepatocytes, human tonsil cells, murine lymphomas,
35 murine myeloma, Yoshida cells, two HeLa strains, EsB-, EB, lymphomas, ZAC/1, P815 were grown 24 h at 37°C in 96 microtiter wells.

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WiDr cells were incubated in a somewhat different schema for 6 and 24 h at U-KRS concentrations of 1, 4, 8 and 14 µg/ml U-KRS.

Results

5 Fluorometric evaluations show stronger affinity of U-KRS to elements of the cancer cell nucleus than to other cancer cell areas. Fluorescence phenomena may clearly show the strong and the strong and quick affinity exerted by U-KRS in tumour and metastasis areas. No toxic effects are seen in normal cells treated in doses which are 100 percent growth inhibitory to
10 cancer cell lines tested to date.

Example 9: Influence of U-KRS on human xenografts

Material and method

15 Tumour cells were taken from human tumour xenografts and serially transplanted into nude mice. These cells were used in a colony-forming assay in vitro. Tumour cells were incubated continuously for at least one week with several concentrations of the drug U-KRS. This was done with six different types, and the colony formation was scored for each tumour. The
20 drug effects were reported as percent T/C (Test/Control)

Results

Many different kinds of tumours are sensitive to U-KRS correlating to the variety tested by U-KRS. There the tumouricidal effects seem to be
25 dependent on the regeneration ability of the immune apparatus, which stimulation and modulation may be accomplished by U-KRS.

Example 10: Influence of U-KRS on human malignant cell lines

Material and methods

30 Four different malignant cell lines were used: 1) mouse sarcoma; 2) female mammary carcinoma; 3) human colon carcinoma; 4) human melanoma.

U-KRS and PP9AA02 derivatives were added to the culture media.

35 After irradiation, 200 cells were plated per slide and incubated for one week, then stained and counted.

- 16 -

Results

The results presented here indicated that U-KRS and PP9AA02 derivatives act on human malignant cell lines synergistically as cytotoxic substances.

5

Example 11: Induced G2/M Arrest and Apoptosis in Human Epidermoid Carcinoma Cell Lines by U-KRS

Material and methods

10 Primary human keratinocytes were isolated from neonatal skin specimens. Epidermal sheets were trypsinized and single cell suspensions collected by centrifugation.

Results

15 U-KRS inhibits cell cycle progression in a dose-dependent manner.

U-KRS treatment affects cell cycle distribution and induces apoptosis in A431 and ME180 cells.

Expression of the cyclins, CDKs and CDK inhibitor p27 changes after treatment with U-KRS

20

Example 12: Antimetastatic effect of U-KRS and its influence on the oxygen and energy metabolism of mice with melanoma B-16

Materials and methods

25 The experiment was carried out on 133 C57B/6 male-mice. Metastasizing melanoma B-16 was transplanted to the right shin muscle of each mouse. On the 10th day after the tumour transplantation, the animals were divided into two groups. The first group was given U-KRS to sinus venosus of the eye in a dose of 1mg/kg in the volume of 0.05 ml: 5
30 injections once in two days. The second group was given sterile physiological solution to sinus venosus in the same regime.

Results

35 The study was showing that a day after the first intravenous injection on U-KRS the indices of the oxygen regime in the muscular tissue noticeably improved. The rate of pO₂ level increased up to the maximum during the oxygen inhalation and the rate of pO₂ decreased from the maximum to the

- 17 -

initial level after cessation of inhalation. In animals of the experimental group certain indices of the oxidative phosphorylation of the liver mitochondria also improved a day after the preparation administration. It is known that under progression of the malignant process the oxygen and metabolism is inhibited. In mice which were given 5 injections of U-KRS such inhibition is less pronounced. In the animals of the control group the level of oxygen tension in muscular tissue and the rate of O₂ delivery to it were statistically higher. Generalizing the data obtained it is possible to conclude that U-KRS in mice with B/16 melanoma improves the delivery of oxygen to tissues as well as inhibits the destructive effect of the malignant process on the organism bioenergetics.

The subsequent Examples illustrate immuno-modulating and metabolism-regulating properties of U-KRS, rendering U-KRS particularly suitable for the therapeutic treatment of allergic reactions, virus diseases (HIV, Hepatitis A, B and C, E.coli, Influenza), osteoporosis, polyarthritis, psoriasis, and other diseases or bodily conditions.

Example 13: Enhancement of macrophage tumouricidal activity by U-KRS

Materials and methods

BALB/c mice were maintained by brother/sister matings in the laboratory. The tumour D1 DMBA/3 was routinely transplanted in BALB/c by s.c. injection. The tumour became apparent five days after implantation.

In vivo treatment with U-KRS was initiated five days after subcutaneous tumour implantation. Three routes of administration were employed, i.e., intravenous, intraperitoneal and subcutaneous. All three experimental groups, of at least 10 mice each, received 5.0µU-KRS in 0.15ml of PBS. This dosage was chosen based on preliminary experiments.

Results

The rate of tumour growth in treated mice was significantly diminished. The mice receiving U-KRS did not show any deleterious drug related side effects.

- 18 -

Example 14: In vitro effects of U-KRS on the phenotype of normal human lymphocytes

Materials and methods

5 The study was performed on lymphocytes isolated from peripheral blood of 10 healthy volunteers. The cells were isolated on Ficoll-Paque density gradient centrifugation. Viability of cells was determined by 0.1% trypan blue staining, and found to be 95%.

Lymphocyte subpopulation were quantitated by immunofluorescence
10 using monoclonal antibodies against total T-cells, T-helper cells and T-suppressor cells. Subsequently, cells were treated with FITC/conjugated rabbit F(ab/2 fragments anti-mouse IgG, washed in PBS and mounted on slides using polyvinyl-alcohol and glycerol. In control preparations, PBS or normal mouse serum was used instead of monoclonal antibodies.

15

Results

The present study indicating the possibility of direct influence of U-KRS on T-cell subpopulations confirmed the earlier observations that U-KRS could be a good immunostimulator of cellular immunity in cancer patients.

20

Example 15: Mitogenic properties of U-KRS on human peripheral blood monocytes

Material and methods

25 Peripheral blood mononuclear cells. The blood was diluted with an equal volume of PBS containing 1mM EDTA, pH 7.5, and was layered over Histopaque 1077. The tubes were centrifuged at 2000rpm for 30 min. The interface layers containing lymphocytes were collected and washed three times with RPMI tissue culture medium

30

Results

It was found that even a short period of pre-treatment of the cell with U-KRS had a potent synergic effect on PHA mitogenesis resulting in significantly higher cell stimulation indices than those of PHA alone.
35 Moreover it was found that a short period of PHA treatment of the cells is almost imperative for U-KRS to exert its mitogenic effects.

- 19 -

This study is showing a significant increase in circulating lymphocytes in patients in advanced stages of malignancies treated with U-KRS.

Example 16: Modulation of immune effector cell cytolytic activity and
5 tumour growth inhibition in vivo by U-KRS

Material and methods

Tumour cells : mastocytoma P815 and the AKR leukaemia AKIL cell
lines were maintained in DMEM medium supplemented with 9.0% bovine
10 fetal calf serum containing penicillin and streptomycin.

Results

The present in vitro study demonstrates that U-KRS is an effective
biological response modifier augmenting, by up to 48-fold, the lytic activity
15 of splenic lymphocytes obtained from alloimmunized mice. The lytic
activities of IL-2 treated spleen cells and peritoneal exudate lymphocytes
were also significantly increased by the addition of U-KRS to the cell
mediated lysis assay medium.

The results, taken in conjunction that U-KRS also enhances the
20 cytolytic activity of spleen lymphocytes, indicate that the therapeutic effect
of U-KRS observed in vivo is mediated by stimulating immune effector cell
cytolytic activity.

Example 17: Influence of U-KRS on immunological blood parameters in
25 vitro and in vivo

Materials and methods

96 Wistar rats were used for this study. The initial age was 16 weeks
for both male and female rats.

30 U-KRS and PHA were tested in a ³Hthymidine test on T lymphocytes to
evaluate the stimulation index in doses from 0.01 to 20 µg/ml.

Results

U-KRS stimulates different subsets of the haematopoietic and
35 immunological systems. In this experiment reticulocytosis is induced as a
possible sign of stimulation of certain stem cells or of general activation of
the erythropoietic system. As no changes in the absolute leucocyte counts

- 20 -

could be demonstrated, it may be postulated that by the action of U-KRS only strong modulating properties, e.g., a dislocation of the different subsets, happened in this experiment.

Stimulation comparable to that gained in these experiments was seen in vitro, including apoptosis in cancer cells.

Example 18: Inhibitory effect of U-KRS on ovalbumin antigenicity and antiovalbumin IgE antibody response in mice

10 *Material and methods*

The ability of U-KRS to inhibit ovalbumin-induced sensitization was tested in BALB/c and F1 (BALB/c x C57Bl/6J) mice. U-KRS was introduced into the mice in the mixture with antigen (ovalbumin) and adjuvant (alum) inhibited the sensitization of mice, reflected in lower anti-OA IgE antibody response and decreased antigen-induced histamine release from mast cells isolated from peritoneal cavities of sensitized mice. The effect of U-KRS on the antigenicity of ovalbumin (OA) in anaphylaxis was tested in heterologous passive cutaneous anaphylactic (PCA) reaction on rats.

20 *Results*

The results show that the OA prepared in the mixture with U-KRS had a decreased ability to react with anti-OA IgE antibodies raised against native OA in mice and fixed on the surface of rat mast cells in heterologous PCA reactions. The results suggest that U-KRS pre-treatment of OA may affect its antigenic property and the ability to react with anti-OA IgE antibodies raised against the native IgE molecules.

Example 19: Effect of treatment with U-KRS on early osteoporosis

30 *Materials and methods*

U-KRS was administered intraperitoneally in a dose of 30mg/kg every other day for six months to female rats with ovariectomy-induced early osteoporosis. Administration of U-KRS was started on the second day after the surgical operation. At the end of the long-term treatment with U-KRS each rat was tested for the strength of both humeri and some parameters of rat femur were measured.

Results

The results show that the decrease in the mechanical strength of the humeral bones and some changes in the femur caused by ovariectomy were prevented by the six-month treatment with U-KRS.

5

Example 20: Influence of U-KRS preparation on influenza viruses and the bacteria E.coli and S.aureus

Material and methods

10 Influenza viruses of the APR8/HON1/34 strain were cultured on 10-day-old hen embryos;

E.coli bacteria derived from current clinical material and the strain 209P of S.aureus were employed. U-KRS preparation of the series '290614.

15 *Results*

This study confirms the existing of anti-infectious action of U-KRS preparation in the infected macro organism. This influence is exerted through the stimulation of some elements of the host immune system due to a secondary destruction of micro organisms or cells infected by these micro
20 organisms.

Example 21: Biological activity of U-KRS with respect to influenza virus

Material and methods

25 Virus of A type, Port-Chalmers 1/73 culture, antigenic H3N2 variety. The virus was injected at 1, 10 and 100 EID₅₀ per embryo. U-KRS was dissolved de novo in Hanks solution.

Results

30 It was confirmed that U-KRS has the hampering action upon the development of the infective process.

Example 22: Action of U-KRS on effects of irradiation

35 *Materials and methods*

CBA/J male mice of 16/20g body weight.

- 22 -

Short-term whole-body gamma-irradiation of mice at doses ranging from 6.0Gy to 7.5Gy was performed. Long-term irradiation with the cumulative dose of 8.75 Gy was performed using the CEGO device.

U-KRS was administered intraperitoneally at doses of 0.1, 1.4 and 5 12mg/kg body weight.

Results

The ability of U-KRS to modify the effects of irradiation was studied in CBA/J mice using the dosage of the drug from 0.1 to 12 mg/kg. U-KRS was
10 found to increase the survival rate of mice by 50-60 % at irradiation dosis from 5.00 to 7Gy with no effect at the dose of 7.5Gy. Varying the dosage of the drug did not influence the outcome of irradiation.

The main outcome of the present study is the finding that U-KRS is capable of modifying the effects of irradiation when applied in both
15 prophylactic and curative regimes.

Example 23: Effects of U-KRS against ionizing radiation

Materials and methods

20 Brest carcinoma, colorectal adenocarcinomas, glioblastoma and pancreas adenocarcinomas cell lines. U-KRS preparation.

The effect of U-KRS on cell survival was tested at concentration ranging FROM 0.2 μ G ML. The exposure times were 1, 3 and 24h, after which the cells were washed with phosphate/buffered saline and fresh
25 medium was added.

Results

U-KRS treatment resulted in differential time- and dose-dependent reduction in clonogenic cell survival. All four human tumour cell lines tested
30 showed different sensitivities towards U-KRS with an up to 100-fold higher reduction of clonogenic survival as compared with human fibroblasts for 24h incubation.

- 23 -

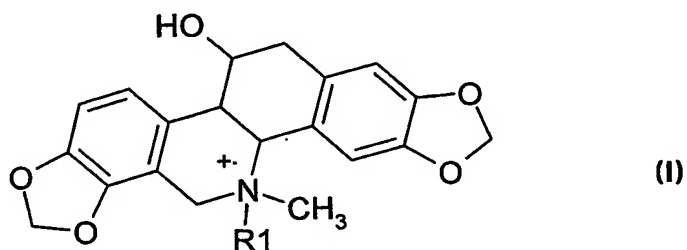
CLAIMS

1. An alkaloid reaction product, comprising at least one alkaloid derivative obtained through reaction of one or more alkaloids with an
5 alkylating agent, wherein in the derivative an initially tertiary nitrogen is present in quaternary form to which, as a fourth ligand, a hydrogen residue or a residue originating from the alkylating agent is bound, the residue preferably being selected from the group consisting of a methyl, ethyl, and tris(1-aziridinyl)phosphine sulphide residue, or from a part of tris(1-
10 aziridinyl)phosphine sulphide.
2. The alkaloid reaction product according to claim 1, wherein at least one alkaloid derivative is present in the form of a water-soluble salt, preferably in the form of a hydrochloride.
- 15 3. The alkaloid reaction product according to claim 1 or 2, wherein the alkaloid is at least one alkaloid present in the herb *Chelidonium majus L.*, preferably a mixture of several or all alkaloids of *Chelidonium majus L.*
- 20 4. The alkaloid reaction product according to any one of claims 1 to 3, wherein the alkaloid is selected from the group consisting of chelidonine, protopin, stylopin, allocryptopin, homochelidonine, sanguinarine, chelamidine, chelamine, L-sparteine and oxychelidonine.
- 25 5. The alkaloid reaction product according to any one of claims 1 to 4, wherein chelidonine, oxychelidonine, or methoxychelidonine is present as a sole alkaloid source.
6. The alkaloid reaction product according to any one of claims 1 to 5,
30 wherein the product further comprises at least one compound selected from the group consisting of unreacted tertiary alkaloids, unreacted alkylating agent, and decomposition products of the alkylating agent.
7. The alkaloid reaction product according to any one of claims 1 to 6,
35 wherein the product is further characterized by at least one analytical showing selected from the group consisting of the NMR spectrum in Fig.4,

- 24 -

the UV spectrum in Fig.5, the mass spectrum in Figures 7 and 8, and the elementary analysis in Table 1.

8. An alkaloid reaction product according to any one of claims 1 to 7,
5 obtainable in a process defined in any one of claims 11 to 22.
9. A chelidonin derivative, wherein the naturally occurring chelidonin is present in a quaternated form according to the subsequent formula (I),



- 10 wherein as a fourth ligand R1 to the quaternary nitrogen a hydrogen or a methyl or ethyl residue is present.

10. The chelidonin derivative of claim 9 in water soluble form, preferably as a salt with a strong acid, most preferably in the form of a hydrochloride.

15

11. A process for the manufacture of an alkaloid reaction product defined in claim 1 comprising:

- a) providing a reaction mixture comprising an organic solvent and at least one alkaloid having a tertiary nitrogen in its chemical structure and an
20 alkylating agent, and carrying out an alkylation reaction by reacting the at least one alkaloid with the alkylating agent in the presence of the organic solvent, to allow for the formation of at least one reaction product wherein alkylation occurs at the tertiary alkaloid nitrogen thus converting the tertiary nitrogen into a quaternary nitrogen;
- 25 b) after termination of the reaction subjecting the resulting reaction mixture to at least one washing step with an aqueous solvent or water, to remove water-soluble compounds present in the reaction mixture; and
- c) subjecting the washed reaction mixture to a treatment with a strong acid in gaseous or liquid form, preferably with gaseous hydrogen chloride or
30 a hydrogen chloride solution, for converting at least one remaining reaction product into a water soluble form, particularly a water-soluble salt.

- 25 -

12. The process of claim 11 wherein in step c) a reaction product precipitates during or after the treatment with acid, whereafter the precipitate is separated from the organic solvent, and optionally further
5 purified preferably using organic solvents.

13. The process of claims 11 or 12, wherein the alkylation reaction is carried out at elevated temperature, in particular at the boiling point of the solvent.

10

14. The process according to any one of claims 11 to 13, wherein at least one alkaloid present in the herb *Chelidonium majus L.*, preferably a mixture of several or all alkaloids of *Chelidonium majus L.*, is used as an alkaloid source.

15

15. The process according to any one of claims 11 to 14, wherein the alkaloid is selected from the group consisting of chelidonine, protopin, stylopin, allocryptopin, homochelidonine, sanguinarine, chelamidine, chelamine, L-sparteine and oxychelidonine.

20

16. The process according to any one of claims 11 to 15, wherein chelidonine, oxychelidonine, or methoxychelidonine is applied as a sole alkaloid source.

25 17. The process according to any one of claims 11 to 16, wherein the alkylating agent is a physiologically active agent, preferably a cytotoxic agent.

18. The process according to any one of claims 11 to 17, wherein the
30 alkylating agent is water soluble or decomposes into water-soluble components upon contact with water.

19. The process according to any one of claims 11 to 18, wherein the organic solvent facilitates or contributes to the alkylation reaction and
35 preferably is selected from the group consisting of monochloromethane, dichloromethane, trichloromethane, monochloroethane, dichloroethane and trichloroethane.

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20. The process according to any one of claims 11 to 19, wherein the alkylating agent is tris(1-aziridiny)phosphine sulphide (CAS 52-24-4).

5 21. The process according to any one of claims 11 to 20, wherein the reaction product comprises at least one compound selected from the group consisting of chelidonine, oxychelidonine and methoxychelidonine, wherein said compound has a quaternary nitrogen atom to which, as a fourth ligand, a hydrogen residue or a residue originating from the alkylating agent is
10 bound, the residue preferably being selected from the group consisting of a methyl, ethyl and tris(1-aziridiny)phosphine sulphide residue.

22. The process according to any one of claim 21, wherein tris(1-aziridiny)phosphine sulphide is used as the alkylating agent and wherein said
15 residue is a part of said alkylating agent, optionally a decomposition product formed due to the treatment with acid.

23. Use of the reaction product defined in any one of claims 1 to 10 as a drug or medicament.
20

24. Use of the reaction product defined in any one of claims 1 to 10 for the manufacture of a pharmaceutical composition for the prophylaxis or treatment of a disease or bodily condition selected from the group consisting of viral infection, cancer, immunological dysfunction, metabolic dysfunction
25 and radiation damage.

25. Use according to claim 24, wherein the disease is selected from the group consisting of allergies, osteoporosis, skin tumours, influenza virus infections, rheumatic diseases, scars, postoperative wounds, epilepsy and
30 multiple sclerosis.

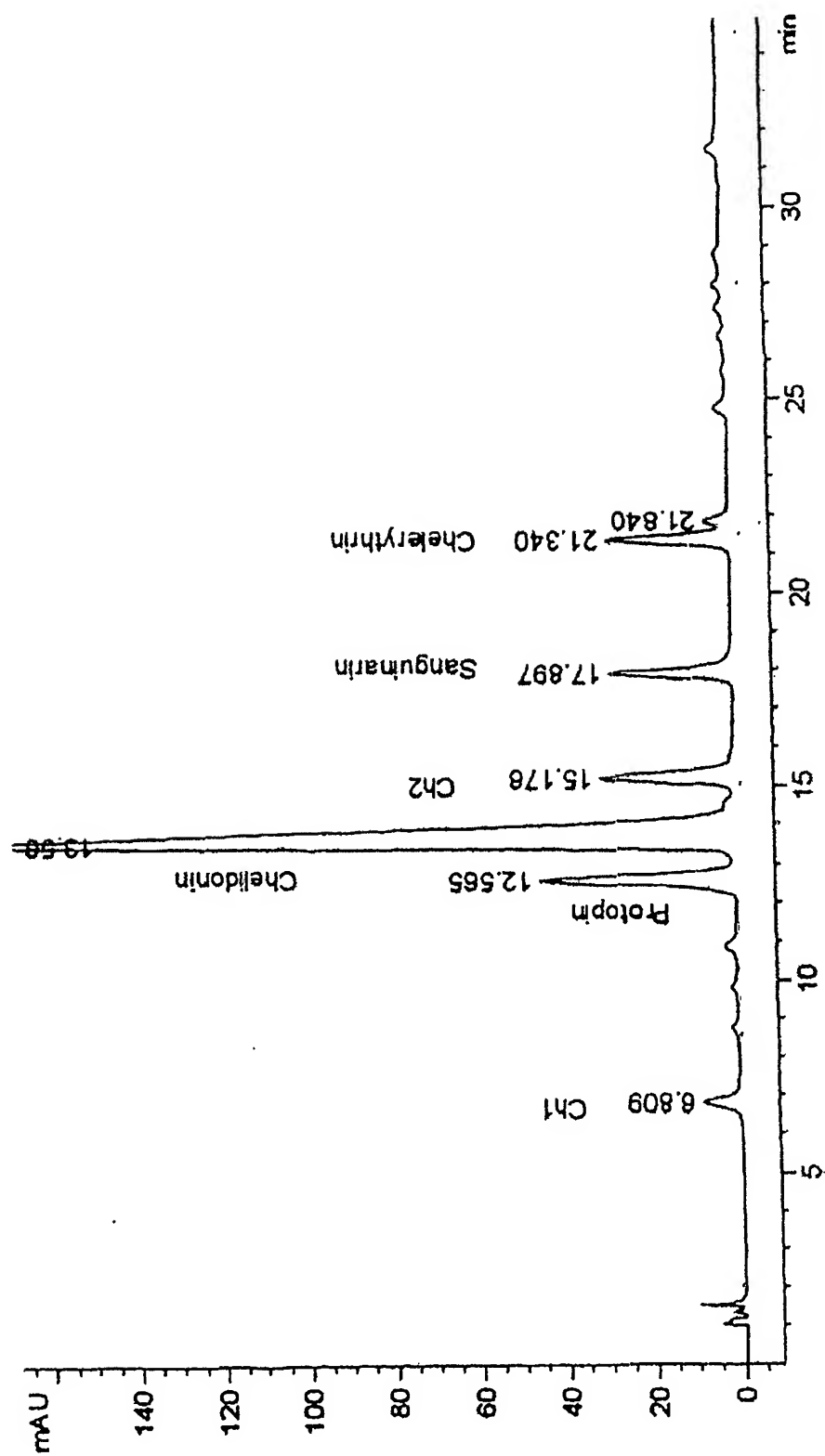


FIG. 1

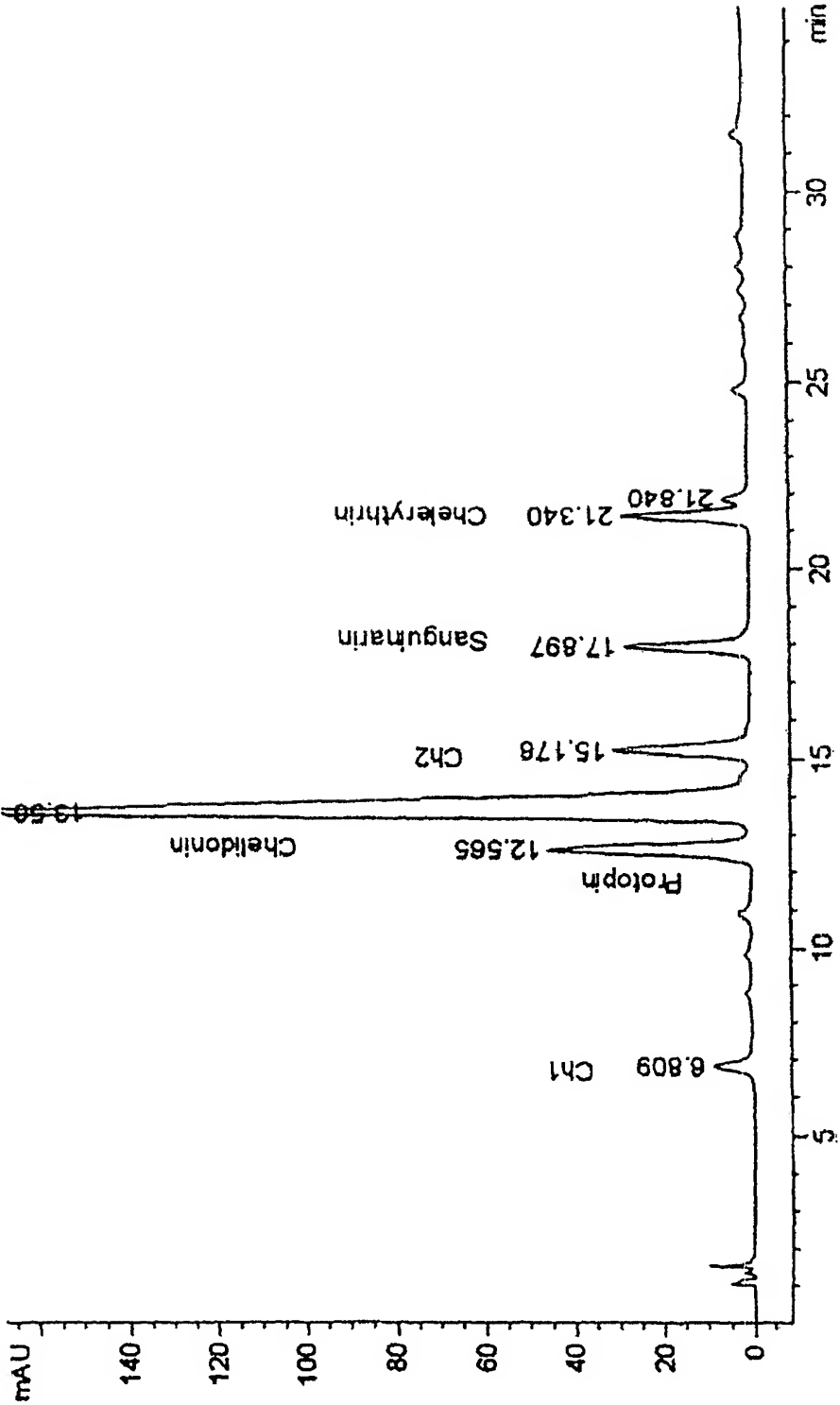
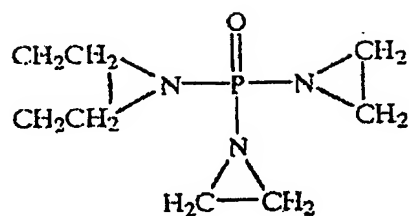
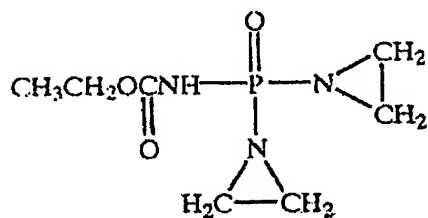


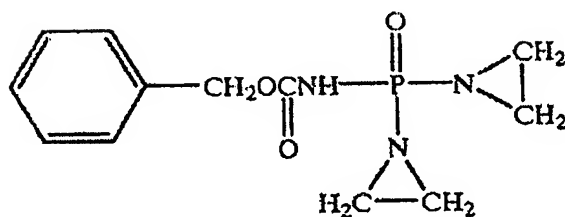
FIG. 2



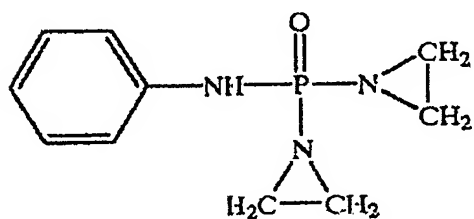
LV



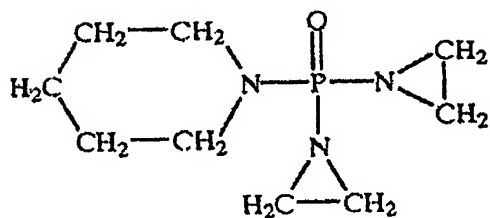
LVI



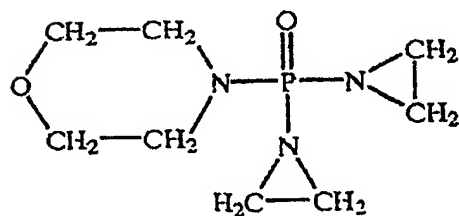
LVII



LVIII

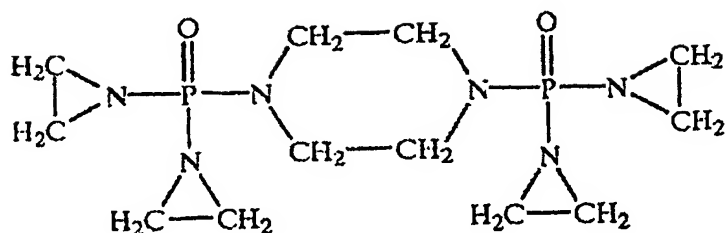


LIX

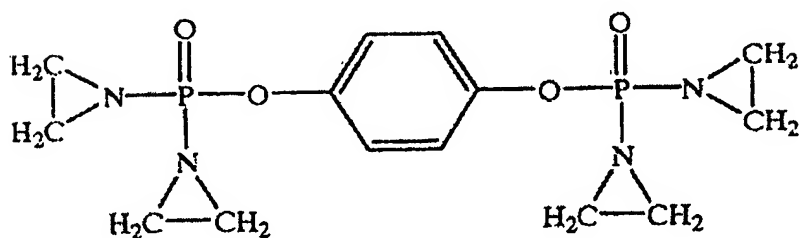


LX

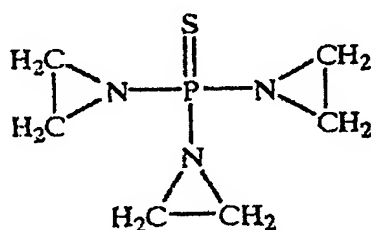
FIG. 3 (a)



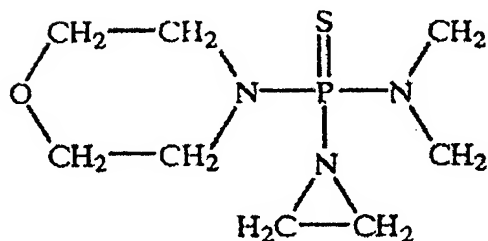
LXI



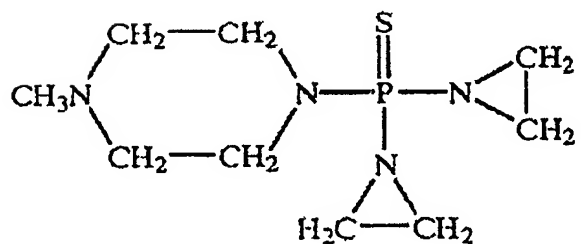
LXII



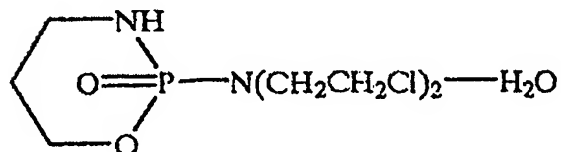
LXIII



LXIV



LXV



LXVI

FIG. 3 (b)

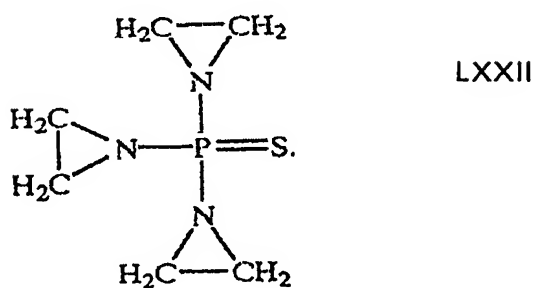
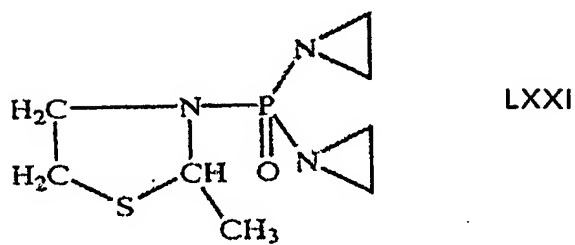
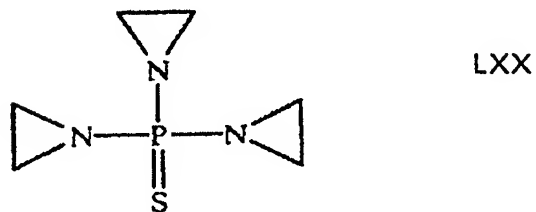
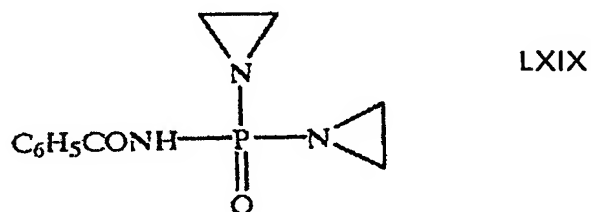
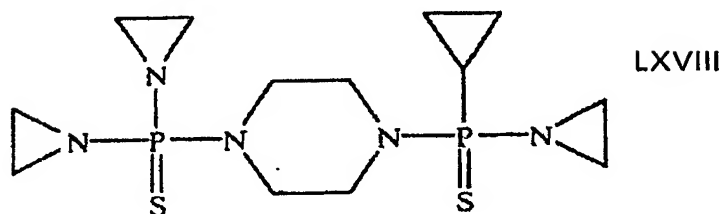
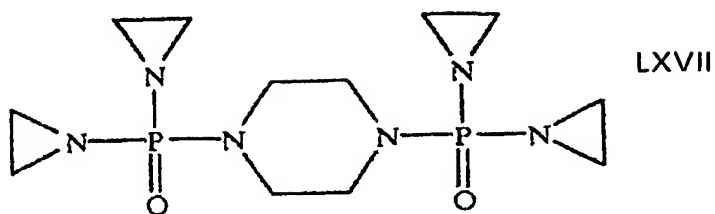
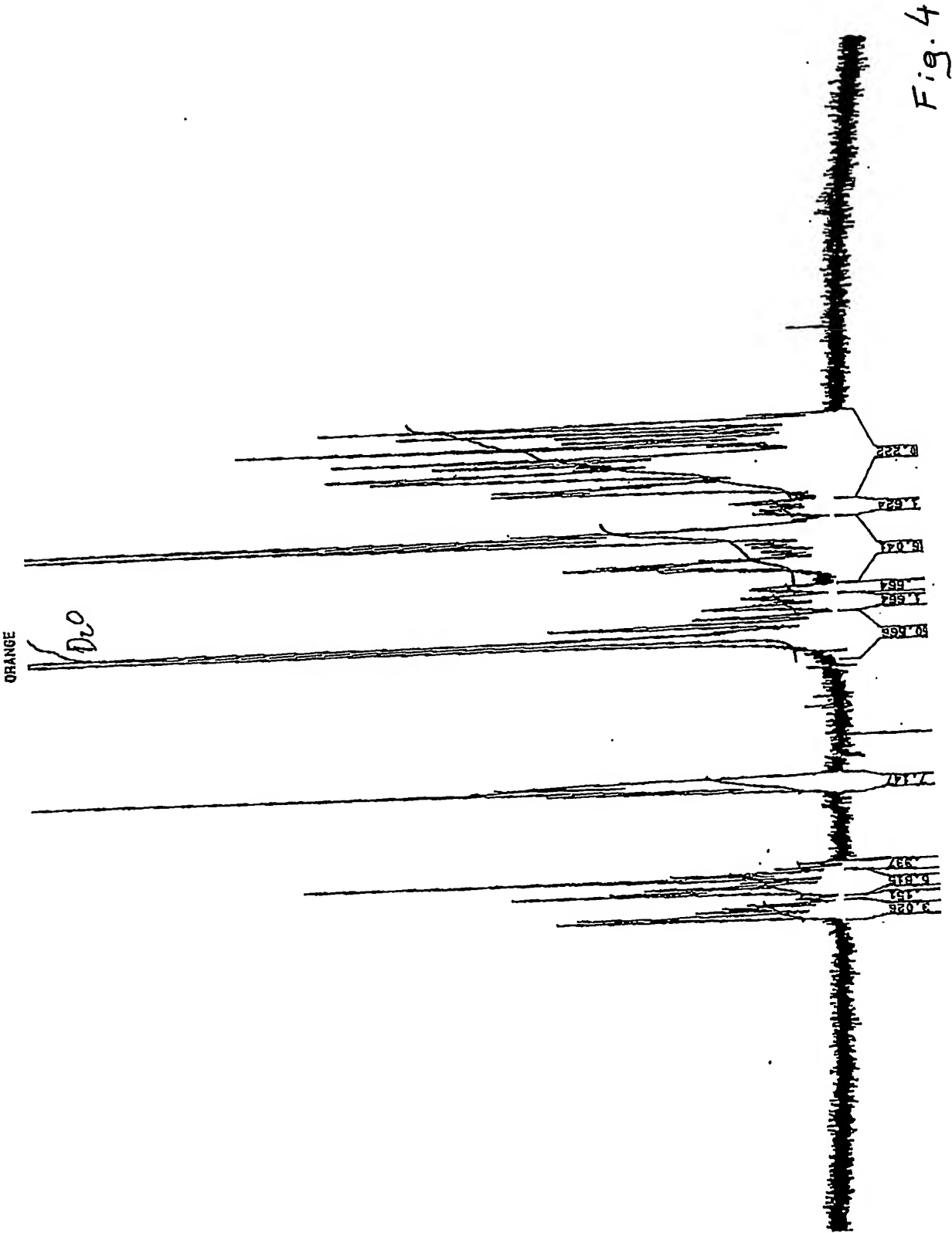
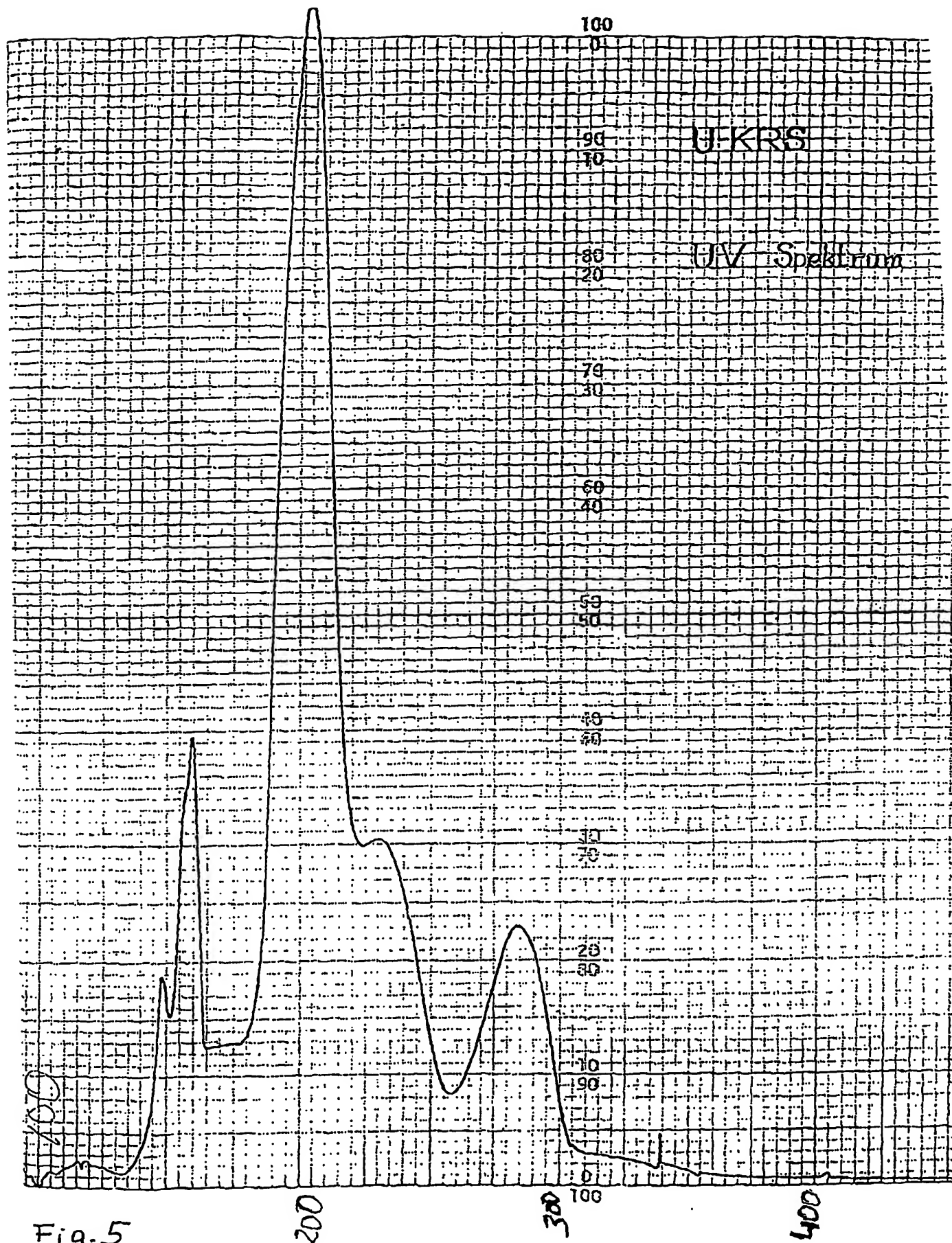
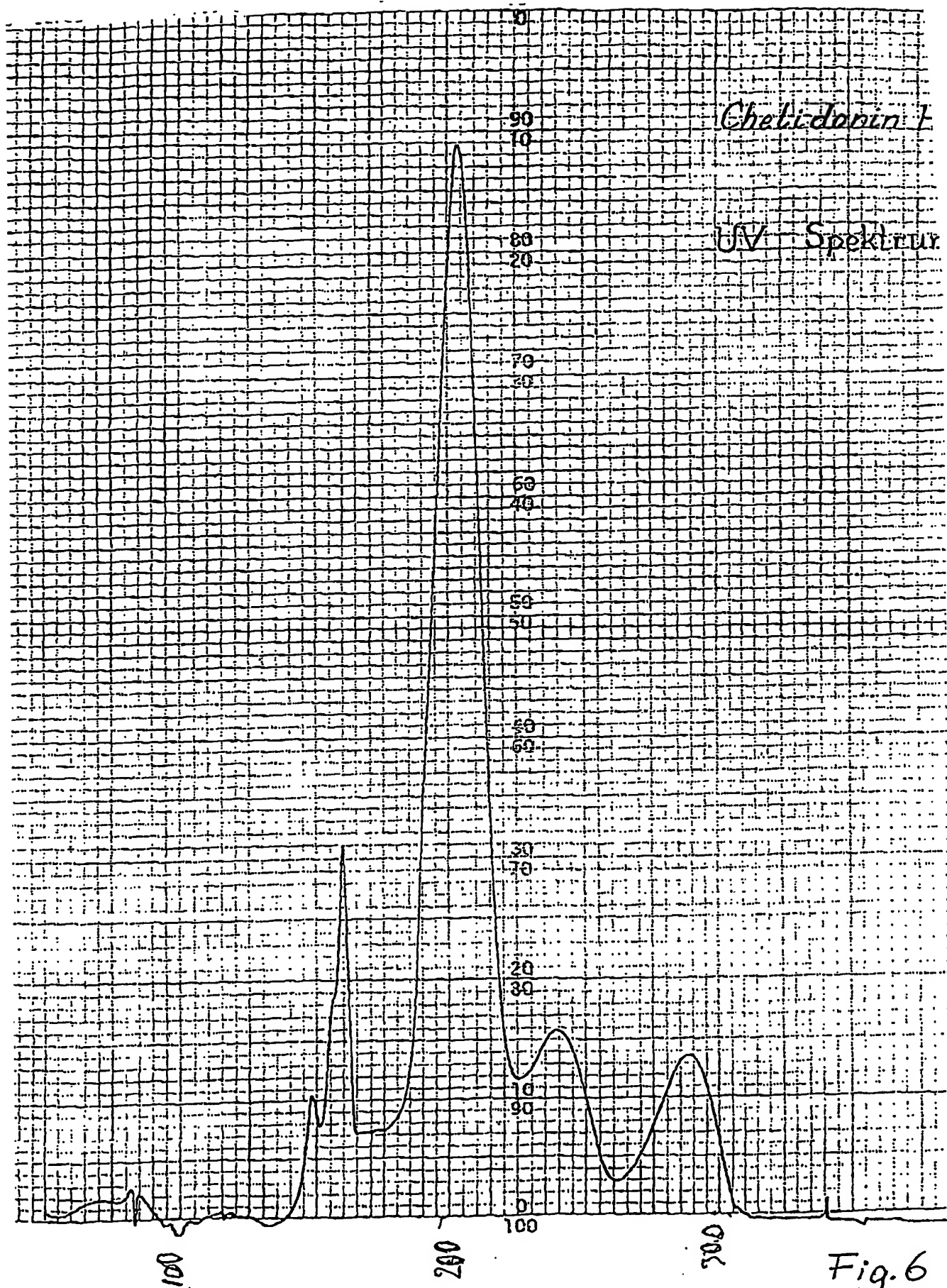


FIG. 3 (c)







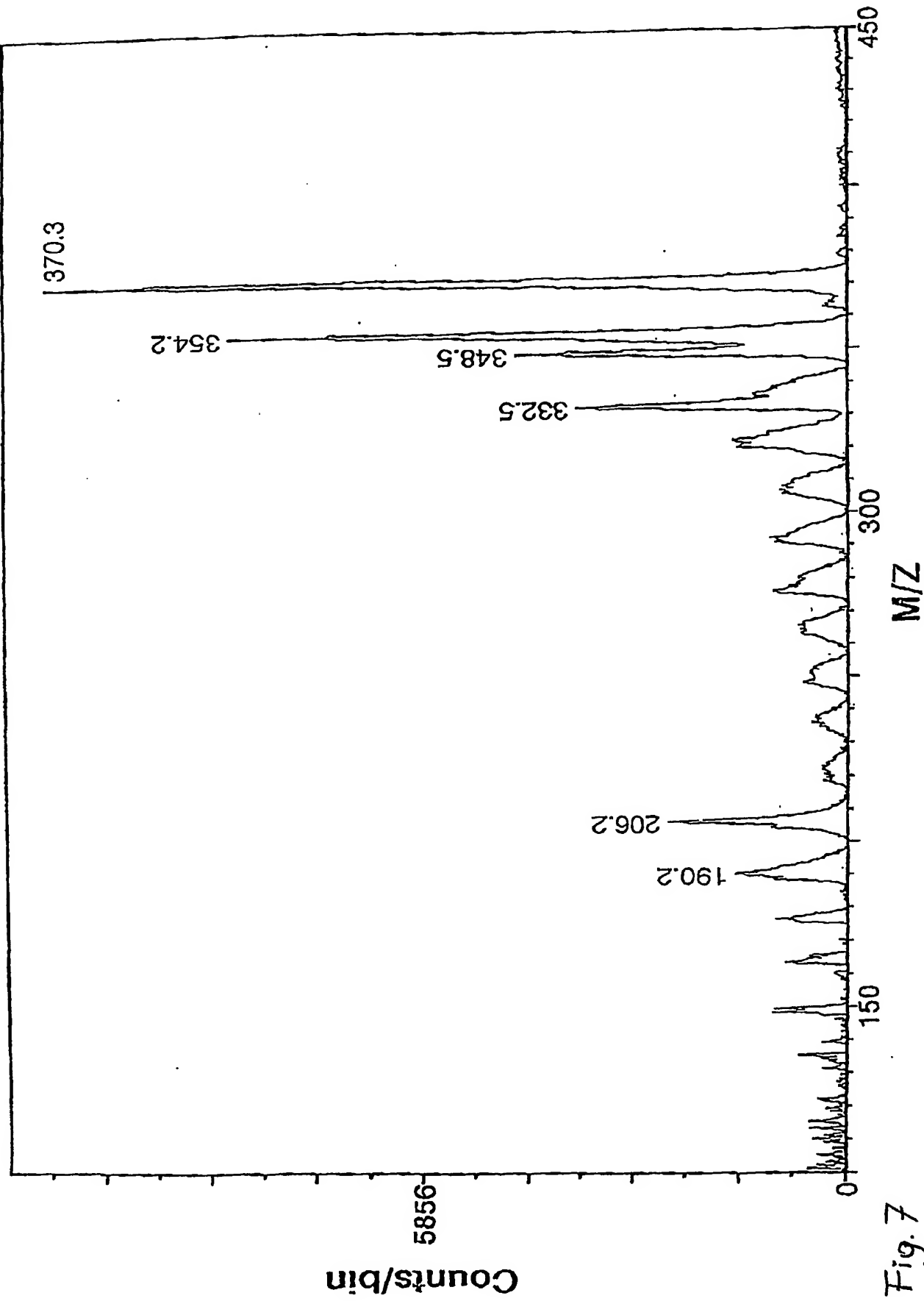


Fig. 7

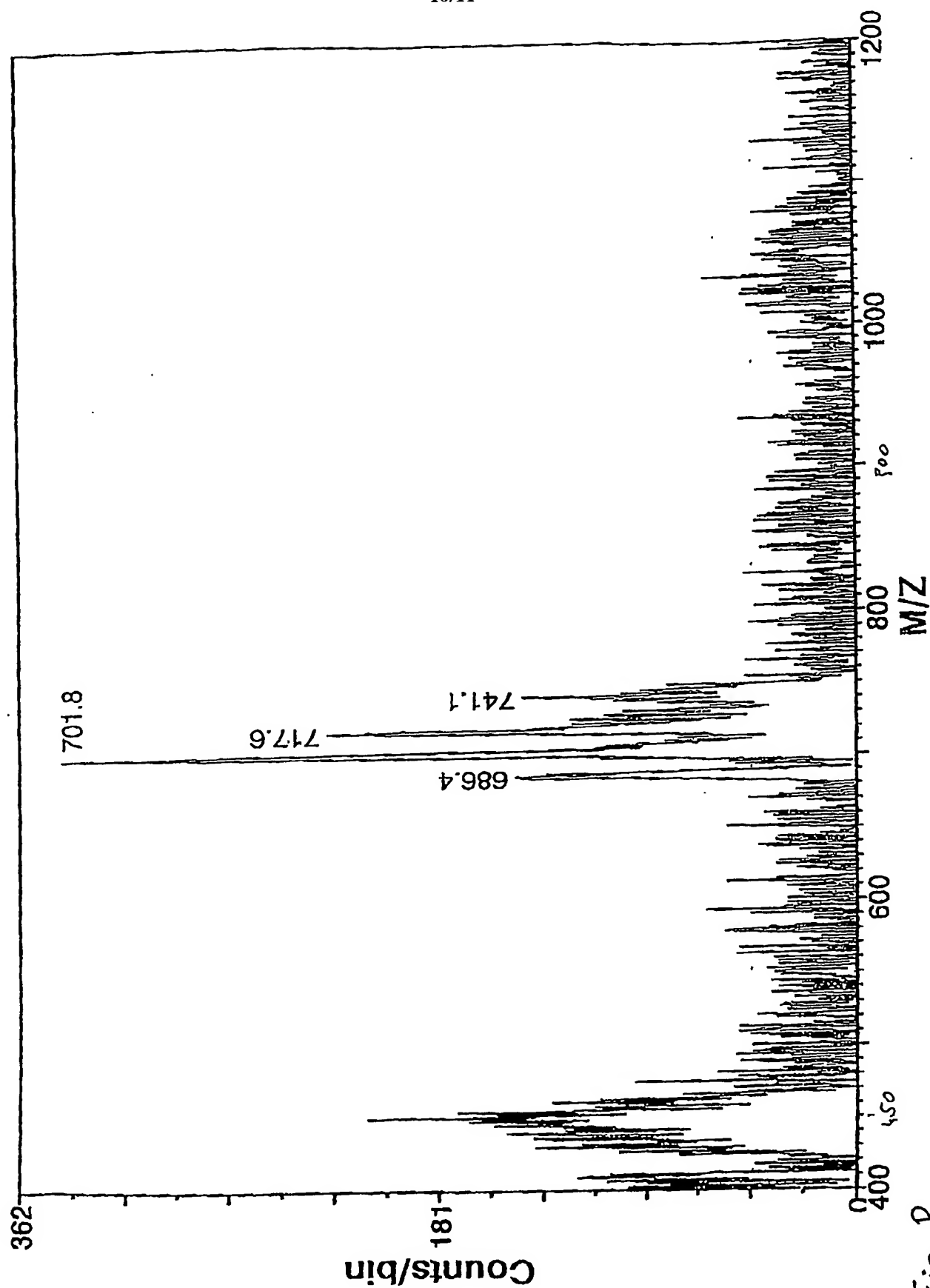


Fig. 8

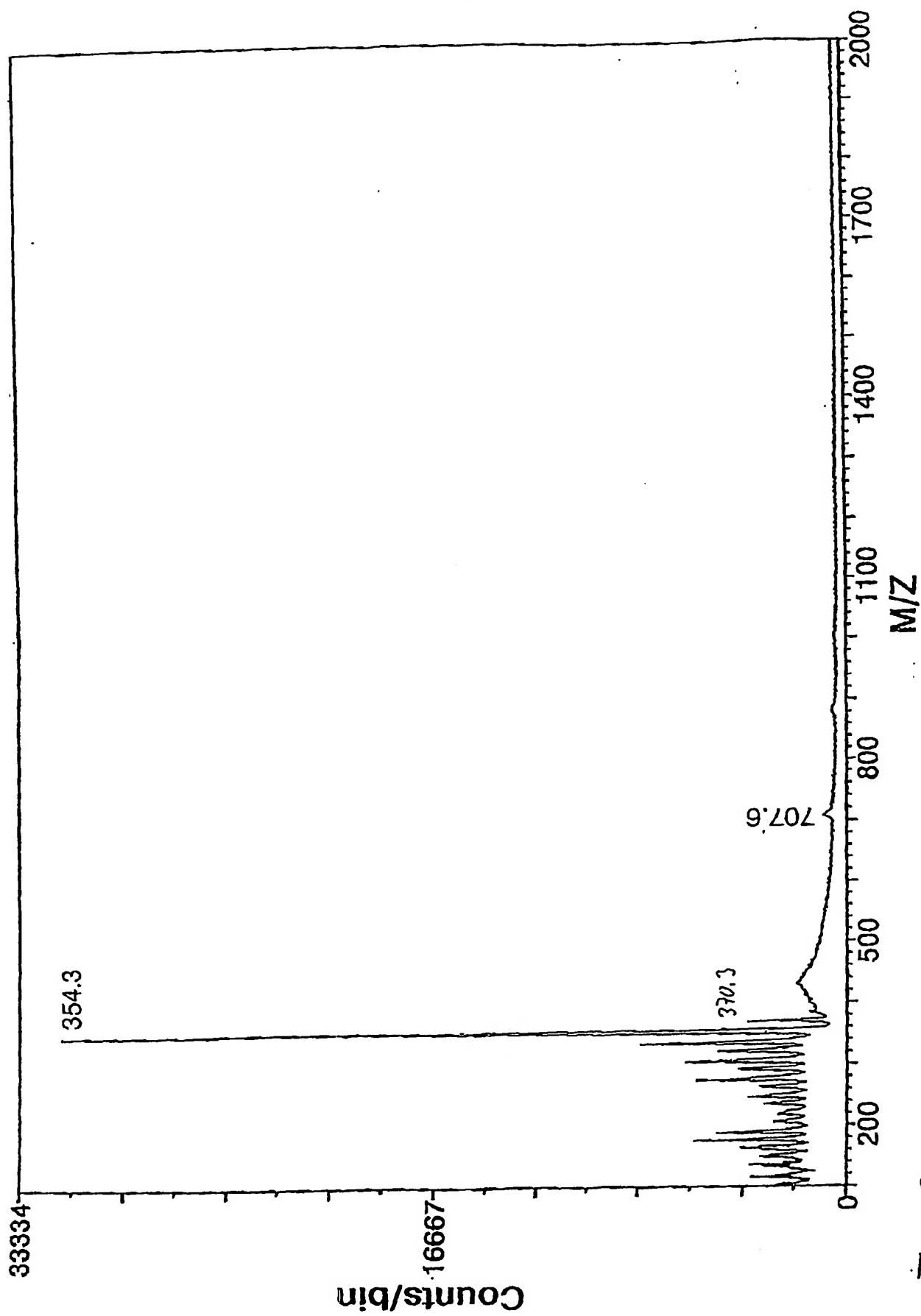


Fig. 9

INTERNATIONAL SEARCH REPORT

International Application No
PCT/EP2004/002637

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61K35/78 A61K31/14 C07D221/18 C07D491/22 C07F9/564
C07F9/6561 C07F9/59 C07F9/6533 C07F9/6509 C07F9/6584
C07F9/6539 C07G5/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61K C07D C07F C07G

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, CHEM ABS Data, BEILSTEIN Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DATABASE CHEMICAL ABSTRACTS 'Online! XP002239900 Database accession no. 1982:173909 abstract	1-4, 8, 23
X	-& ZHAO Y ET AL: "Studies on the antimalarial activity of protopine derivatives" CHINESE PHARMACEUTICAL BULLETIN (YAOXUE TONGBAO), vol. 16, no. 6, June 1981 (1981-06), pages 7-10, XP001147793 ISSN: 0512-7343 * page 8, compound A2 *	1-4, 8, 23

☒ Further documents are listed in the continuation of box C.

☐ Patent family members are listed in annex.

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- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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Date of the actual completion of the international search

23 June 2004

Date of mailing of the international search report

06/07/2004

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Authorized officer

Elliott, A

INTERNATIONAL SEARCH REPORT

International Application No
PCT/EP2004/002637

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>TANAKA S ET AL: "Influence of natural and synthetic compounds on cell surface expression of cell adhesion molecules, ICAM-1 and VCAM-1" PLANTA MEDICA, THIEME, STUTTGART, DE, vol. 67, no. 2, 2001, pages 108-113, XP009003131 ISSN: 0032-0943 * the whole document, especially compounds 1 and 5 *</p>	1-4,8, 23-25
X	<p>SCHMELLER T ET AL: "Biochemical activities of berberine, palmatine and sanguinarine mediating chemical defence against microorganisms and herbivores" PHYTOCHEMISTRY, PERGAMON PRESS, GB, vol. 44, no. 2, January 1997 (1997-01), pages 257-266, XP004292781 ISSN: 0031-9422 the whole document</p>	1-4,8, 23-25
X	<p>SCHLOTTERBECK J O ET AL: "Beiträge zur Chemie des stylophorum diphylum" CHEMISCHE BERICHTE, vol. 35, 1902, pages 7-23, XP009009873 ISSN: 0009-2940 page 14 - page 18</p>	1-5,7-10
X	<p>HENSCHKE A: "I. Über das Chelidonin" ARCHIV DER PHARMACIE, vol. 226, 1888, pages 624-644, XP009009872 ISSN: 0365-6233 page 636 - page 637</p>	1-5,7-9
X	<p>WALZTEROVÁ D ET AL: "Inhibition of liver alanine aminotransferase activity by some benzophenanthridine alkaloids" JOURNAL OF MEDICINAL CHEMISTRY, vol. 24, no. 9, September 1981 (1981-09), pages 1100-1103, XP002239893 ISSN: 0022-2623 the whole document</p>	1-4,8,23

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INTERNATIONAL SEARCH REPORT

International Application No
PCT/EP2004/002637

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>ISHII H ET AL: "Studies on the chemical constituents of rutaceous plants. LX. Development of a versatile method for syntheses of the antitumour benzo[c]phenanthridine alkaloids. 9. Efficient syntheses and antitumour activities of nitidine and related non-phenolic benzo[c]phenanthridine alkaloids"</p> <p>CHEMICAL AND PHARMACEUTICAL BULLETIN, vol. 33, no. 10, 1985, pages 4139-4151, XP001147415</p> <p>ISSN: 0009-2363</p> <p>the whole document</p>	1-4,8, 23-25
X	<p>LOMBARDINI J B ET AL: "Effects of benzophenanthridine alkaloids on the phosphorylation of an approx 44 kDa protein present in a mitochondrial fraction of the rat heart"</p> <p>BIOCHEMICAL PHARMACOLOGY, vol. 51, no. 2, 26 January 1996 (1996-01-26), pages 151-157, XP002239894</p> <p>ISSN: 0006-2952</p> <p>the whole document</p>	1-4,8,23
X	<p>NAKANISHI T ET AL: "Structural considerations of NK109, an antitumour benzo[c]phenanthridine alkaloid"</p> <p>JOURNAL OF NATURAL PRODUCTS, vol. 62, no. 6, June 1999 (1999-06), pages 864-867, XP002239895</p> <p>ISSN: 0163-3864</p> <p>the whole document</p>	1-4,8, 23-25
X	<p>VALPUESTA M ET AL: "From protopines to berbines: synthesis of 1-methoxystylopine and its N-metho salts from coulteropine"</p> <p>TETRAEDRON, ELSEVIER SCIENCE PUBLISHERS, AMSTERDAM, NL, vol. 58, no. 25, 17 June 2002 (2002-06-17), pages 5053-5059, XP004366428</p> <p>ISSN: 0040-4020</p> <p>the whole document</p>	1-4,8
X	<p>SLAVIK J ET AL: "Quaternary alkaloids from the roots of Argemone platyceras LINK et OTTO"</p> <p>COLLECTION OF CZECHOSLOVAK CHEMICAL COMMUNICATIONS, vol. 41, 1976, pages 285-9, XP009009913</p> <p>ISSN: 0010-0765</p> <p>the whole document</p>	1-4,8
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INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP2004/002637

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	SCHMIDT E: "46. Über Paveraceen-Alkaloide" ARCHIV DER PHARMACIE, vol. 231, 1893, pages 168-183, XP009009914 ISSN: 0365-6233 page 143 page 168	1-4,8
X	TAKAO N ET AL: "Studien über die Alkaloide de Pavaveraceen. Die Alkaloide von Corydalis incisa. (10). Über die struktur des (+)-14-Epicorynolins" CHEMICAL AND PHARMACEUTICAL BULLETIN, vol. 21, 1973, pages 1096-1102, XP009009871 ISSN: 0009-2363 * compound 4 *	1-3,8
X	DANCKWORTT P W: "Zur Kenntnis des Protopins und Kryptopins" ARCHIV DER PHARMACIE, vol. 250, 1912, pages 590-646, XP009009915 ISSN: 0365-6233 page 632 - page 639	1-4,8
X	MANSKE R H F ET AL: "The alkaloids of papaveraceous plants. XXXIV. Hunnemannia fumariaefolia Sweet and the constitution of a new alkaloid, hunnemanine" JOURNAL OF THE AMERICAN CHEMICAL SOCIETY, vol. 64, no. 7, July 1942 (1942-07), pages 1659-1661, XP002239896 ISSN: 0002-7863 page 1660, column 2, line 25 - line 32	1,2,8
X	REDEMANN C E ET AL: "Characterisation of certain alkaloids from Fagara coco" JOURNAL OF THE AMERICAN CHEMICAL SOCIETY, vol. 71, no. 3, 19 March 1949 (1949-03-19), pages 1030-1034, XP002239897 ISSN: 0002-7863 page 1032, column 2	1-4,8
X	ULRICHOVÁ J ET AL: "Cytotoxicity of natural compounds in hepatocyte cell culture models. The case of quaternary benzo[c]phenanthridine alkaloids" TOXICOLOGY LETTERS, vol. 125, no. 1-3, 15 December 2001 (2001-12-15), pages 125-132, XP002239898 ISSN: 0378-4274 the whole document	1-4,8
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INTERNATIONAL SEARCH REPORT

International Application No
PCT/EP2004/002637

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	ZHANG G-L ET AL: "Alkaloids from Dactylicapnos torulosa" PHYTOCHEMISTRY, vol. 40, no. 1, 1995, pages 299-305, XP002239899 ISSN: 0031-9422 * compound 6 *	1-4,8

INTERNATIONAL SEARCH REPORT

International application No.
PCT/EP2004/002637

Box II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☒ Claims Nos.: 1-3, 5-8, 11-25 (all partially)
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
see FURTHER INFORMATION sheet PCT/ISA/210
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box II.2

Claims Nos.: 1-3, 5-8, 11-25 (all partially)

Present claims 1-3 relate to an extremely large number of possible reaction products. Support within the meaning of Article 6 and/or disclosure within the meaning of Article 5 PCT is to be found, however, for only a very small proportion of the reaction products claimed. In the present case, the claims so lack support, and the application so lacks disclosure, that a meaningful search over the whole of the claimed scope is impossible. Consequently, the search has been carried out for those parts of the claims which appear to be supported and disclosed, namely those parts relating to the reaction products of the particular alkaloids given in claim 4.

The applicant's attention is drawn to the fact that claims relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure. If the application proceeds into the regional phase before the EPO, the applicant is reminded that a search may be carried out during examination before the EPO (see EPO Guideline C-VI, 8.5), should the problems which led to the Article 17(2) declaration be overcome.